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PTO/SB/21 (04-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/428,837-Conf. #5196
	Filing Date	October 28, 1999
	First Named Inventor	Teruhiro Shiono
	Art Unit	2653
	Examiner Name	P. W. Huber
Total Number of Pages in This Submission	Attorney Docket Number	04558/036001

ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	OSHA & MAY L.L.P. Jonathan P. Osha - 33,986
Signature	<i>[Signature]</i> 45,925
Date	August 4, 2004

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Dated: August 4, 2004

Signature: *[Signature]* (Toni Hill)



AMENDMENT TRANSMITTAL LETTER			Docket No. 04558/036001
Application No. 09/428,837-Conf. #5196	Filing Date October 28, 1999	Examiner P. W. Huber	Art Unit 2653

Applicant(s): Teruhiro Shiono et al.

Invention: OPTICAL HEAD FOR A PLURALITY OF TYPES OF INFORMATION RECORDING MEDIA

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	52	- 52 =		x	RECEIVED AUG 09 2004 Technology Center 2600
Independent Claims	3	- 9 =		x	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					0.00

- ☒ Large Entity ☐ Small Entity
- ☒ No additional fee is required for this amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of \$ _____.
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.
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as described below. A duplicate copy of this sheet is enclosed.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

Jonathan P. Osha **45,925**
Jonathan P. Osha
Attorney Reg. No.: 33,986

Dated: August 4, 2004

OSHA & MAY L.L.P.
1221 McKinney St., Suite 2800
Houston, Texas 77010
(713) 228-8600

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Signature: *Toni Hill* (Toni Hill)



U.S. Patent Application Serial No. 09/428,837
Attorney Docket No. 04558/036001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Teruhiro SHIONO, *et al.*

Art Unit : 2653

Serial No.: 09/428,837

Examiner : P. W. Huber

Filed : October 28, 1999

Confirmation No.: 5196

Title : OPTICAL HEAD FOR A PLURALITY OF TYPES OF INFORMATION
RECORDING MEDIA

Commissioner for Patents
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REPLY UNDER 37 CFR § 1.111

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In response to the final Office Action dated June 4, 2004, please amend the
application as follows and consider the included remarks.